## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Guterman et al.

Title:

Novel Multi-State Memory

Application No.:

10/809,572

Filing Date:

March 24, 2004

Examiner:

Viet Q. Nguyen

Group Art Unit:

2827

Docket No.:

SNDK.138USM

Conf. No.:

7990

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

Copies of the listed Other Art and Foreign Patent Documents listed on the accompanying PTO Form 1449 are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). The fee of \$180.00 has been authorized via EFS to Deposit Account 502664. The Commissioner is hereby

**EFS Filing** 

Attorney Docket No.: SNDK.138USM

Application No.: 10/809,572

authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account 502664.

Respectfully submitted,

Gerald P. Parsons Reg. No. 24,486 Date

PARSONS HSUE & DE RUNTZ LLP

595 Market Street, Suite 1900

San Francisco, CA 94105

(415) 318-1160 (main)

(415) 318-1163 (direct)

(415) 693-0194 (fax)

U.S. Depa	rtment	of Commerce, Patent	and Trademark	Atty. Docket No.			Application No	
INFORM	ATION	DISCLOSURE STA	TEMENT BY	SNDK.138USM			10/809,572	
		APPLICANT		Applicant(s)	Conf. No.			
	(Use se	everal sheets if necess	ary)	Guterman et al.			7990	
		(Form PTO-1449)		Filing Date			Art Group	
		1		March 24, 2004			2827	
- W			U.S. P	atent Documents				
*Examiner Initial		Document Number	D-4-	NT	Ct	0-1-1	Filing Date	
/VN/	1	4,404,655	Date 9/13/83	Name Naiff	Class	Subclass	If Appropriate	
/VN/	2	5,142,496	8/25/92	Van Buskirk				
/VN/	3	5,163,021	11/1992	Mehrotra et al.				
/VN/	4	5,175,706	12/29/92	Edme				
/VN/	5	5,293,560	3/1994	Harari				
/VN/	6	5,337,275	8/1994	Garner				
/VN/	7	5,394,362	2/1995	Banks				
/VN/	8	5,508,958	4/1996	Fazio et al.				
/VN/	9	5,563,828	10/1996	Hasbun et al.				
/VN/	10	5,566,111	10/1996	Choi				
/VN/	11	5,638,320	6/1997	Wong et al.				
/VN/	12	5,640,350	6/1997	Iga				
/VN/	13	5,642,312	6/1997	Harari				
/VN/	14	5,671,388	9/1997	Hasbun	-			
/VN/	15	5,721,701	2/1998	Ikebe et al.				
/VN/	16	5,748,533	5/1998	Dunlap et al.				
/ <b>VN</b> /	17	5,748,546	5/1998	Bauer et al.				
/VN/	18	5,751,632	5/1998	Choi et al.				
/VN/	19	5,768,184	6/1998	Hayashi et al.				
/VN/	20	5,768,187	6/1998	Uchino et al.	•	. , , , , , , , , , , , , , , , , , , ,		
/VN/	21	5,801,991	9/1998	Keeney et al.				
/VN/	22	5,831,900	11/1998	Miyamoto				
/VN/	23	6,038,166	3/14/00	Wong				
/VN/	24	6,856,546	2/05	Guterman et al.		,		
/VN/	25	6,862,218	3/05	Guterman et al.		, ,		
			.S. Published Pa	tent Application Docu	ments	1	<u> </u>	
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
/VN/	26	20040246798A1	12/04	Guterman et al.				
/VN/	27	20040042294A1	03/04	Guterman et al.				
/VN/	28	20040165431A1	8/04	Guterman et al.				

INFORM							Application No.				
IIVI OKUVI	ATION	DISCLOSURE STAT	EMENT BY	SNDK.138USM			10/809,572				
		APPLICANT		Applicant(s)			Conf. No.				
	(Use se	veral sheets if necessa	ry)	Guterman et al.			7990				
(Form PTO-1449)				Filing Date			Art Group				
				March 24, 2004			2827				
/VN/	29	20050018481A1	1/05	Guterman et al.							
/VN/	30	20040047195A1	03/04	Guterman et al.							
/VN/	31	20040213049A1	10/04	Guterman et al.							
/VN/	32	20020163838A1	11/02	Guterman et al.							
russa mensi haru		1	Foreign	Patent Documents			.d				
							Tran	slatio			
		Document	Date	Country	Class	Subclass	Yes	N			
/ <b>VN</b> /	33	EP 0 851 429	7/1/98	EPO							
/VN/	34	JP 10-011988	1/16/98	Japan			Х	1			
	<u>. I</u>	OTHER AR	Γ (Including Au	thor, Title, Date, Perti	nent Pages, E	Etc.)	<u> </u>				
/ <b>VN</b> /	36	Office Action for Application No. 10/809,572, mailed June 6, 2005, 6 pages.									
/VN/	37	Office Action for Application No. 10/921,450, mailed July 13, 2005, 8 pages.									
/VN/	38	Office Action for Application No. 10/921,450, mailed March 21, 2006, 7 pages.									
/VN/	39	Declaration of Interference, Yachareni et al. v. Guterman et al., Patent Interference No. 105,475, mailed June 12, 2006, pages 1-6.									
/VN/	40	Joint Request for Adverse Judgment, Yachareni et al. v. Guterman et al., dated August 17, 2006, Patent Interference No. 105,475, 5 pages.									
/VN/	41	Judgment - Request for Adverse, Yachareni et al. v. Guterman et al., Patent Interference No. 105,475, entered August 23, 2006, 4 pages.									
/ <b>VN</b> /	42	pages.	•	for Assignee of U.S. Pa	tent No. 6,37	0,061, dated A	ugust 23, 200	<del>)6</del> , 2			
xaminer	/Viet I	\guyen/ (04/10/20	Date Considere	d 04/10/2	2007						